

UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF NEW YORK

SPARTAN BRANDS, INC.

Plaintiff,

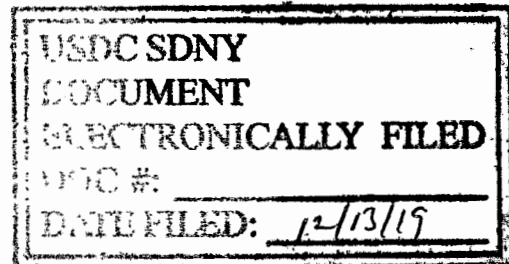
v.

DUKE CANNON SUPPLY  
COMPANY, INC.,

Defendant.

Civil Action No. 1:19-cv-06573 (JPO) (JLC)

**STIPULATED  
PROTECTIVE ORDER**



WHEREAS, Plaintiff, Spartan Brands Inc., ("Spartan Brands") and Defendant, Duke Cannon Supply Company, Inc., ("Duke Cannon"), through their undersigned counsel (collectively the "Parties"), consider certain information and documents in their possession to be confidential and proprietary, including, *inter alia*, sensitive financial, commercial, business, and personal information and, therefore, desire that a protective order limiting the use, access to and disclosure of such information and documents be entered, and the Court being otherwise fully informed;

**IT IS HEREBY ORDERED** that, pursuant to Rule 26(c) of the Federal Rules of Civil Procedure, the production and use of documents and information produced or made available in this matter shall proceed in accordance with the following terms:

**I. SCOPE OF THE ORDER**

1. **Scope of Order:** This Order applies to all documents (and the contents thereof) served, transmitted, or incorporated in this lawsuit pursuant to Rules 26 through 36 and/or Rule 45 of the Federal Rules of Civil Procedure.

**II. DESIGNATION OF MATERIALS AS “CONFIDENTIAL” OR “ATTORNEYS EYES ONLY”**

2. **Confidential Designation:** The producing party (including third-parties) may designate material (including paper or electronic documents, written discovery responses, and/or deposition testimony or exhibits) as “Confidential” if such party believes in good faith, and after reasonable inquiry, that such material is entitled to protection under Rule 26, or any other applicable law. Nothing in this Order is intended to expand the scope of materials entitled to protection beyond what is covered by Rule 26 or other applicable law.

3. **Persons Eligible to View Confidential Information:** Only Qualified Persons are authorized to view confidential information in this case, who are:

- a. Attorneys of record in this litigation and secretaries, paralegals, law clerks and support staff employees to whom, in the opinion of the attorney of record for the receiving party, it is necessary that the information be disclosed for purposes of this litigation;
- b. Any person who is not an employee or business consultant of a party and who is retained by a party or its attorneys of record in this litigation solely as an independent expert for the purposes of this litigation and who agrees in writing to be bound by the terms of this Protective Order. The independent expert must complete and sign an Undertaking in the form of Exhibit A, attached hereto. A copy of that Undertaking must be given to the other party before access is allowed to the Confidential information.
- c. Officers, directors, and/or employees expressly designated by each party, who, by accepting said designation agree to be bound by the terms of this Protective Order.
- d. Any individual that has been identified as a witness through a notice of deposition, including any individual that is designated as a corporate representative pursuant to a Rule 30(b)(6) Notice, to the extent the party receiving the notice or designating the individual as a corporate representative believes the individual needs to review the confidential information to be fully prepared to offer testimony in the good faith prosecution or defense of this litigation. Any such person shall complete and sign an Undertaking in the form of Exhibit A, attached hereto. A copy of that Undertaking must be given to the other party before access is allowed to the Confidential information.

- e. Any other person who is designated as a Qualified Person by Order of this Court, after notice to all parties, or who, by written agreement of the parties, is designated as a Qualified Person. Any person designated under this subparagraph must complete and sign an Undertaking in the form of Exhibit A, attached hereto. A copy of that Undertaking must be given to the other party before access is allowed to the Confidential information.
- f. Court reporters, stenographers, clerks, law clerks, and other court personnel employed by the Court, and court reporters and/or stenographers at depositions.

**4. Persons Eligible to View Attorneys Eyes Only Information:** By placing the phrase “Attorneys Eyes Only” on a document, a producing party designates that document as one to be viewed only by the Court, by attorneys of record, and/or by expert consultants retained in this proceeding, who may not disclose the content of the document to their client except with the written consent of the producing party or by order of the Court. The “Attorney Eyes Only” designation is only permissible if the material so designated is of a particularly sensitive nature that the opposing party’s knowledge of it has a reasonable potential to cause significant harm to the designating party or a third-party. A requesting party may challenge the designation of a document as “Attorney Eyes Only” pursuant to paragraph 17 below.

**5. Method of Designation:** All Information produced in this matter shall be accorded the protections of this Protective Order if affirmatively designated as “Confidential” or “Attorneys Eyes Only.” Documents and things produced which contain Confidential information shall be designated as such by marking each page of the document or thing at or before the time of production substantially as follows:

**“CONFIDENTIAL”**

or

**“CONFIDENTIAL - PURSUANT TO PROTECTIVE ORDER”**

Or

**“ATTORNEYS EYES ONLY”**

or

**“ATTORNEYS EYES ONLY – PURSUANT TO PROTECTIVE ORDER”**

In lieu of marking the original of documents, the party may mark the copies that are produced or exchanged, before or after an inspection of the originals by the other party. The designation of material as Confidential or Attorneys Eyes Only constitutes a representation by the designating party and its counsel that they, in good faith, believe that the material so designated contains or constitutes information which is properly the subject of this Protective Order. A requesting party may challenge the designation of a document as Confidential or Attorneys Eyes Only pursuant to paragraph 17 below.

6. **Designation of Deposition Records:** Information disclosed at the deposition of a party or one of its present or former officers, directors, employees, agents, or independent experts retained by a party for purposes of this litigation as well as third parties may be designated as Confidential or Attorneys Eyes Only information by indicating on the record at the deposition that the particular testimony is Confidential or Attorneys Eyes Only information and subject to the provisions of this Protective Order or by designation by page and line number within 10 business days after receipt of the deposition transcript.

7. **Effect of Designation and/or Failure to Designate:** Designation of materials as Confidential is a representation by the designating party that there is a valid basis for such designation. Notwithstanding the foregoing, the failure to designate materials as Confidential shall not be used against any party as an admission or concession that the materials are not eligible for designation, nor shall it constitute, be construed as, or have the effect of, a waiver of any kind. In the event a party inadvertently fails to designate materials as

Confidential, any party to whom the materials were produced, shall, upon written notice, designate, and thereafter treat, such materials as though designated as Confidential.

**III. TREATMENT OF MATERIALS SUBJECT TO PROTECTIVE ORDER**

**8. Prohibited Use of Materials:** Materials subject to this Order shall not be used for any purpose other than in connection with this litigation. Furthermore, materials designated as Confidential and/or Attorneys Eyes Only shall not be: (1) made available, directly or indirectly or through paraphrasing, by the party receiving it to any persons or entities other than Qualified Persons; or (2) used by any party for any purpose other than in connection with this litigation.

**9. Permitted Use of Materials:** Nothing shall prevent disclosure beyond the terms of this Order if the party and/or third-party designating the information as Confidential or Attorneys Eyes Only Information consents, in writing, to such disclosure, withdraws the Confidential or Attorneys Eyes Only designation, or if the Court, after notice to all parties, orders such disclosure. A party may, in a deposition, show any witness Confidential information where the witness authored, previously received, or was in some other way related to the Confidential information. This Protective Order does not prohibit the use or disclosure by a person of materials lawfully obtained or developed by such person independently of discovery in this matter, even if such materials are also obtained through discovery in this matter.

**10. Inapplicability of Protective Order:** This Protective Order shall not apply to information that: (i) was, is, or becomes public knowledge (not by any way of a violation of this Protective Order); (ii) was or is acquired from a third party possessing such information and having no obligation of confidentiality to the designating party; or (iii) the receiving party establishes was already in its rightful and lawful possession at the time of the disclosure.

**11. Filing of Confidential Material Under Seal.** This Order constitutes a court

order to the extent required for filing sealed material. In the event that any party wishes to include Confidential documents or information protected pursuant to Fed. R. Civ. P. 26(c)(1)(G) and/or (H) in a filing with the Court, the procedure set forth in Judge Oetken's Individual Practices, ¶2.E. ii. must be followed.

**12. Return of Materials Subject to the Protective Order:** As to all Confidential or Attorneys Eyes Only Information: Within sixty (60) days after the conclusion of this litigation, including all appellate proceedings, originals or reproductions of any documents or things produced by a party containing Confidential or Attorneys Eyes Only information shall be returned to the producing party or destroyed, except as required by law. Moreover, the office of each principal counsel may retain for its archive a single copy of any such materials. Principal counsel may also retain all exhibits, deposition transcripts, correspondence and papers filed with the Court. There shall be no restrictions on the disclosure or use of any trial exhibits, unless the exhibits were filed under seal and the Court has not lifted any such restriction on the same.

#### **IV. MISCELLANEOUS**

**13. Inadvertent or Unintentional Disclosure of Privileged Information:** If a party inadvertently or unintentionally produces or discloses materials that are confidential because of the attorney-client privilege, work product doctrine, or other immunity, the provisions of Fed. R. Civ. P 26(b)(5)(B) will apply.

**14. Non-Waiver of Rights:** This Order shall not constitute a waiver of any right(s) to: (1) object to any discovery request and withhold information and/or materials on the ground of attorney/client privilege, work product or otherwise; (2) object to the introduction of any materials as evidence at any hearing or trial in this matter; (3) seek other and further

protections as to any materials that may be offered or admitted as evidence at any hearing or trial in this matter; or (4) file any other motions that may be permitted by any applicable law or court rule. Nothing in this Protective Order shall constitute an admission or waiver of any objection, claim or defense by any person or party.

**15. Modifications to Order:** Any person with proper standing may, on motion or other request to the Court and for good cause shown, seek a modification of this Order. No modification of this Order that adversely affects the protection of any materials produced by a person shall be entered without first giving the person appropriate notice and an opportunity to be heard.

**16. Binding Order:** This Order shall fully bind all parties to this litigation and any and all other persons lawfully permissible.

**17. Dispute Resolution:** A party need not challenge the propriety of the designation of materials as Confidential or Attorneys Eyes Only at the time of designation. In the event of a dispute concerning this Protective Order, including, but not limited to, a dispute over the designation of materials as Confidential or Attorneys Eyes Only, the attorneys for the parties shall first attempt in good faith to negotiate a resolution. If the parties are unable to resolve the dispute, the producing party shall have 14 days from the date the party opposing the designation confirms in writing an impasse, or a longer time as allowed by the Court, to file a motion pursuant to Fed. R. Civ. P. 26 to continue the designation. Until the motion is resolved by the Court, the designated materials at issue shall continue to have the protections afforded by this Order. If the producing party fails to file a motion within 14 days or within the time allowed by the Court, then the material that was the subject of the dispute shall no longer be entitled to protection under this Order. In the event of such a dispute, the party designating

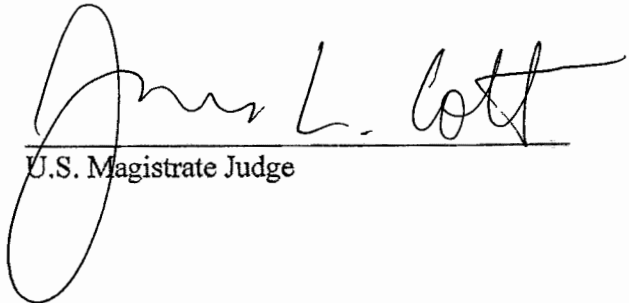
materials as Confidential or Attorneys Eyes Only bears the burden of justifying that designation.

**18. Survival of Litigation:** This Order shall survive the termination of this litigation, and shall remain in effect until modified, superseded, or terminated by order of the Court or by agreement of the parties.

**19. Violations:** Any person who violates this Order may be subject to sanctions, including injunctive relief, contempt orders, monetary damages, or other penalties to be determined by the Court.

**IT IS SO ORDERED.**

DECEMBER 13, 2019

  
\_\_\_\_\_  
U.S. Magistrate Judge



Stipulated as to form and approved for entry:

/s/ Nels T. Lippert  
Nels T. Lippert  
*Tarter Krinsky & Drogin LLP*  
*Attorney for Plaintiff*  
1350 Broadway, 11<sup>th</sup> Floor  
New York, New York 10018  
(212) 216-8000  
nlippert@tarterkrinsky.com

/s/Louis T. Perry  
Louis T. Perry  
FAEGRE BAKER DANIELS LLP  
300 N. Meridian Street  
Suite 2500  
Indianapolis, IN 46204  
Tel: (317) 237-1089  
Fax: (317) 237-1000  
louis.perry@faegrebd.com

# EXHIBIT A

UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF NEW YORK

SPARTAN BRANDS, INC.

Civil Action No. 1:19-cv-0657 (JPO)

Plaintiff,

v.

**UNDERTAKING**

DUKE CANNON SUPPLY  
COMPANY, INC.,

Defendant.

---

**EXHIBIT A**

I, \_\_\_\_\_, state that:

1. My address is \_\_\_\_\_.
2. My present employer is \_\_\_\_\_.
3. My present occupation or job description is \_\_\_\_\_.

4. I have received a copy of the Protective Order in the matter entitled *Spartan Brands, Inc. v. Duke Cannon Supply Company, Inc.* U.S. District Court for the Southern District of New York, Civil Action No. 1:19-cv-0657 (JPO).

5. I have carefully read and understand the provisions of the Protective Order. I will comply with all of the provisions, including holding in confidence and not disclosing to anyone not qualified under the Protective Order, and will use only for purposes of this action any information designated as "CONFIDENTIAL" or "ATTORNEYS' EYES ONLY."

6. Promptly upon termination of these actions, I will return all documents and things designated as "CONFIDENTIAL" or "ATTORNEYS' EYES ONLY" that came into my

possession, and all documents and things that I have prepared relating thereto, to the outside counsel for the party by whom I am employed.

7. I hereby consent to be subject to personal jurisdiction of the U.S. District Court for the Eastern District of Michigan with respect to any proceeding relative to the enforcement of the Protective Order.

---

Signature

---

Date